

1 Why the South Pacific Convergence Zone is diagonal

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7 **Abstract** During austral summer, the majority of precipitation over the
8 Pacific Ocean is concentrated in the South Pacific Convergence Zone
9 (SPCZ). The surface boundary conditions required to support the diagonally
10 (northwest-southeast) oriented SPCZ are determined through a series of
11 experiments with an atmospheric general circulation model. Continental
12 configuration and orography do not have a significant influence on SPCZ
13 orientation and strength. The key necessary boundary condition is the zonally
14 asymmetric component of the sea surface temperature (SST) distribution.
15 This leads to a strong subtropical anticyclone over the southeast Pacific that,
16 on its western flank, transports warm moist air from the equator into the
17 SPCZ region. This moisture then intensifies (diagonal) bands of convection
18 that are initiated by regions of ascent and reduced static stability ahead of

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19 the cyclonic vorticity in Rossby waves that are refracted toward the westerly
20 duct over the equatorial Pacific. The climatological SPCZ is comprised of
21 the superposition of these diagonal bands of convection. When the zonally
22 asymmetric SST component is reduced or removed, the subtropical anticyclone
23 and its associated moisture source is weakened. Despite the presence of
24 Rossby waves, significant moist convection is no longer triggered; the SPCZ
25 disappears. The diagonal SPCZ is robust to large changes (up to $\pm 6^\circ\text{C}$) in
26 absolute SST (i.e. where the SST asymmetry is preserved). Extreme cooling
27 (change $< -6^\circ\text{C}$) results in a weaker and more zonal SPCZ, due to decreasing
28 atmospheric temperature, moisture content and convective available potential
29 energy.

30 **Keywords** SPCZ · SST · IGC4 · asymmetry · Rossby waves · moisture
31 transport

32 1 Introduction

33 The South Pacific Convergence Zone (SPCZ) is a major feature in the
34 distribution of precipitation over the southern hemisphere tropical Pacific
35 Ocean during austral summer (Fig. 1a). The SPCZ is oriented diagonally,
36 stretching northwest-southeast from New Guinea to the central, subtropical
37 Pacific Ocean (Vincent, 1994). In the northern hemisphere a comparable
38 diagonal band of precipitation is not found; instead there is a zonal band
39 of precipitation at about 8°N , the Intertropical Convergence Zone (ITCZ).

40 General Circulation Models (GCMs) have difficulty simulating a diagonal
41 SPCZ. The collection of state-of-the-art models in the Coupled Model
42 Intercomparison Project Phase 5 (CMIP5) generally model a SPCZ that is
43 too zonal and where the subtropical portion is displaced (Brown et al, 2013;
44 Niznik et al, 2015). There are many atmospheric processes and feedbacks
45 that have been linked to the SPCZ; these need to be well represented in
46 the GCMs in order for them to simulate a realistic SPCZ. Low-level inflow
47 by easterly trade winds (Lintner and Neelin, 2008; Niznik and Lintner, 2013)
48 and orographically forced subsidence (Takahashi and Battisti, 2007b) set the
49 location of the eastern margin of the SPCZ. Tropical-extra tropical interactions
50 through Rossby waves have been associated with the SPCZ since it was first
51 observed (e.g. Streten, 1973; Trenberth, 1976). More recently, Widlansky et al
52 (2011) linked wave energy accumulation in the jet exit to the SPCZ. Matthews

53 (2012) and Van der Wiel et al (2015) develop a framework in which it is shown
54 that convection in the SPCZ is forced by the equatorward propagation and
55 the diagonal orientation of Rossby wave trains. This framework depends on
56 a background climatological state to facilitate all aspects of the mechanism.
57 How robust the SPCZ mechanism is to changes in the background state and
58 what aspects of the background state cause changes to the SPCZ are still open
59 questions.

60 The aim of this study is to determine how boundary conditions influence
61 the background state, atmospheric dynamical and thermodynamical processes
62 and what their effect is on the diagonal SPCZ, using an atmospheric GCM.
63 Any climatological differences between the northern and southern hemisphere
64 (ITCZ vs. SPCZ) must be forced by differences in boundary conditions, i.e.
65 differences in continental configuration (land-sea contrasts), orography and
66 sea surface temperatures (SSTs). In an atmospheric GCM, as used in this
67 study, SSTs are an externally specified boundary condition. Of course, in a
68 coupled model and in the actual climate system, SSTs are set by the combined
69 effects of seafloor bathymetry, continental configuration, ocean circulation and
70 atmosphere-ocean interactions.

71 The SST pattern over the Pacific has strong zonal and meridional gradients.
72 Changes in the distribution of tropical SSTs, e.g. due to El Niño-Southern
73 Oscillation (ENSO), have been related to changes in the position of the SPCZ
74 (e.g. Folland et al, 2002; Juillet-Leclerc et al, 2006; Vincent et al, 2011; Haffke
75 and Magnusdottir, 2013). During El Niño events, when warm water from the
76 equatorial warm pool moves eastward, decreasing the zonal SST difference, the
77 SPCZ moves eastward and equatorward. During La Niña events, when zonal
78 SST asymmetries in the equatorial Pacific are magnified, the SPCZ moves
79 westward and poleward. For strong El Niño events (1982/1983, 1991/1992,
80 1997/1998) the SPCZ disappears in favour of a zonal precipitation band over
81 the equator (Vincent et al, 2011). These ‘zonal SPCZ’ events are predicted
82 to occur more frequently in future warmer climates (Cai et al, 2012; Borlace
83 et al, 2014).

84 The direct influence of orography on the SPCZ is not as clear. Takahashi
85 and Battisti (2007a,b) and Kitoh (2002) tested this by means of coupled model
86 experiments. Though the SPCZ proved to be sensitive to adding/removing
87 orography, it was not possible to separate the direct effect of the Andes on
88 atmospheric processes from the indirect effect of the Andes through altered
89 SSTs on atmospheric processes. The role of southern Pacific land-sea contrasts

90 was tested in experiments by Kiladis et al (1989), though again Pacific SSTs
91 were altered as well. It was concluded that the presence of Australia alters
92 precipitation rates in the western part of the SPCZ and that South America
93 has no influence. The experiments in this study have been designed to test
94 the direct effects of all boundary conditions separately. Indirect effects of
95 orography and land-sea contrasts, through altered SSTs, are not be considered.

96 The remainder of the paper is organized as follows: Sect. 2 describes the
97 model and discusses its ability to simulate the diagonal SPCZ. In Sect. 3 the
98 different experiments are described. Experimental results are shown in Sect. 4
99 and a final discussion of the findings is given in Sect. 5.

100 **2 Model description and verification**

101 The Intermediate Global Circulation Model version 4 (IGCM4, Joshi et al,
102 2015) is used to perform experiments testing the influence of different
103 boundary conditions on SPCZ position and strength. It is an intermediate-
104 complexity atmospheric model, i.e. it has simpler physical parameterizations
105 compared to, for example, the atmospheric component of GCMs used in
106 CMIP5. However, the quality of the simulated precipitation in IGCM4 is
107 within the range of models in the CMIP5 ensemble (AMIP experiment Joshi
108 et al, 2015).

109 Dry convection is modelled by means of an immediate adjustment to
110 neutrality in a single time step (Forster et al, 2000). Moist convective processes
111 are based on the scheme described by Betts (1986), either in a shallow non-
112 precipitation type or a deep, precipitation convection type. Estimates of cloud
113 cover are done by means of the scheme of Slingo (1987). Radiation is based
114 on a modified Morcrette scheme (Zhong and Haigh, 1995). Monthly SSTs
115 are prescribed and were computed using data from the NOAA Optimum
116 Interpolation V2 (Reynolds et al, 2002, mean over 1982-2009). Land surface
117 temperatures are computed self consistently (Forster et al, 2000).

118 Here the IGCM4 version with a spectral truncation of T42 and 20 layers in
119 the vertical is used. The model is integrated for 17 years in each experiment,
120 the first year of which is removed for spin-up. Therefore 16 years of data
121 remain, with 15 November to April seasons in which the SPCZ is most strongly
122 developed.

123 A quantitative comparison of SPCZ orientation is obtained following the
124 approach of Brown et al (2011, 2012, 2013). In the domain where the SPCZ

125 is well defined (155°E to 140°W, 0° to 30°S) the latitude of maximum
126 precipitation is found for each band of longitude. A linear least-squares fit
127 to the resulting points gives an objective estimation of the latitudinal position
128 of the SPCZ axis and its slope (in °N/°E). We do not use a threshold of
129 minimum precipitation for the selected points, as the focus here is mostly
130 on investigating the diagonal orientation of the SPCZ, independent from its
131 strength. Therefore, the SPCZ strength is defined separately as the mean
132 precipitation rate in a parallelogram along the fitted axis. The width of the
133 parallelogram is 10° of latitude (see black boxes in Figs. 1a, 1b).

134 First, we describe the characteristics of the SPCZ in a control experiment.
135 The November to April time mean precipitation for observations and in the
136 IGCM4 control integration is shown in Figs. 1a, 1b. The observations are
137 on the based precipitation product from the CMAP project (Xie and Arkin,
138 1997), from 1979 to 2008 on a 2.5°×2.5° grid. In the climate of the IGCM4
139 control integration, the position of the 4 mm d⁻¹ contour, a proxy for the
140 SPCZ margin, is simulated well at the eastern boundary of the SPCZ, although
141 the simulated SPCZ western boundary is located too far east. The slope of
142 the SPCZ axis is -0.37°N/°E in the control integration, which is slightly
143 more slanted than the observed SPCZ (-0.27°N/°E). The precipitation rate
144 is underestimated in the more tropical part of the SPCZ, resulting in a mean
145 SPCZ strength of 7.50 mm d⁻¹ instead of 9.25 mm d⁻¹ in CMAP. The
146 computed SPCZ strength is plotted against SPCZ slope in Fig. 1c. For a
147 quality comparison of the modelled SPCZ in IGCM4 the data from 23 CMIP5
148 models¹ are also included. The quality of the SPCZ based on strength and slope
149 in the IGCM4 control integration is within the range of CMIP5 atmosphere
150 only ('AMIP') experiments. The coupled version of these models ('historical'
151 experiment) simulate an SPCZ that is too zonal (Brown et al, 2013), from
152 the models taken into account here only MIROC5 and CMCC-CM simulate a
153 diagonal SPCZ in coupled mode (slope < -0.1°N/°E).

154 A second diagonally oriented precipitation band can be found over South
155 American continent and the southern Atlantic ocean. The South Atlantic
156 Convergence Zone (SACZ) is formed by a comparable dynamical mechanism

¹ Models included: ACCESS1.0, ACCESS1.3, BCC-CSM1.1, BCC-CSM1.1-m, BNU-ESM, CanCM4, CCSM4, CESM1(CAM5), CMCC-CM, CNRM-CM5, CSIRO-Mk3.6.0, FGOALS-g2, GFDL-CM3, GISS-E2-R, HadGEM2-AO, IPSL-CM5A-LR, IPSL-CM5A-MR, IPSL-CM5B-LR, MIROC5, MPI-ESM-LR, MPI-ESM-MR, MRI-CGCM3, NorESM1-M. Details of the CMIP5 experimental setup and model configurations, model physics and references can be found at <http://cmip-pcmdi.llnl.gov/cmip5/>. November to April time means are based on simulated data from 1979-2005.

157 as the SPCZ (Van der Wiel et al, 2015). In the control integration (Fig. 1b)
 158 the SACZ slope is similar to the observed slope. Simulated precipitation values
 159 are higher in the continental part of the SACZ and lower in the oceanic part.
 160 Precipitation in the ITCZ over the Pacific is slightly weaker than observed.

161 3 Experimental Setup

162 Four different sets of experiments were designed to separately test the influence
 163 of SST asymmetries, absolute SST values, orography and land-sea contrasts
 164 on diagonal SPCZ orientation and strength. The model setup for each of these
 165 experiments is described below (see also Table 1).

166 3.1 SST asymmetry

167 This set of model integrations varies the strength of the zonally asymmetric
 168 part of the SST field, i.e. the zonal SST gradients. First, the zonal mean SST
 169 field (SST_{zm}) is calculated. For a given latitude, the zonal mean SST is the
 170 mean SST over all the ocean grid points along that particular latitude. The
 171 asymmetric part of the SST field (SST_{asym}) is the difference between the total
 172 (SST_{ctrl}) and zonal mean fields:

$$SST_{asym} = SST_{ctrl} - SST_{zm}. \quad (1)$$

173 These calculations are carried out globally, for each month in the seasonally
 174 varying SST climatology. The model linearly interpolates the monthly SST
 175 fields onto the relevant model Julian day. Finally, the strength of the
 176 zonally asymmetric SST field used in the experiments is determined by the
 177 dimensionless parameter α :

$$SST_{exp} = SST_{zm} + \alpha \times SST_{asym}. \quad (2)$$

178 Integrations were carried out for α in the range $-0.5 \leq \alpha \leq 2$. Here, $\alpha = 0$
 179 corresponds to the zonal mean SST field, $\alpha = 1$ corresponds to the control
 180 integration, $0 < \alpha < 1$ and $\alpha > 1$ corresponds to weaker and stronger SST
 181 gradients, respectively, and $\alpha < 0$ corresponds to reversed SST gradients.

182 3.2 Absolute SST

183 This is a series of integrations with globally increasing or decreasing SST
184 values, set by the parameter β ($^{\circ}\text{C}$):

$$SST_{exp} = SST_{ctrl} + \beta. \quad (3)$$

185 A range of ICGM4 integrations has been completed for β in the range -12°C
186 $\leq \beta \leq +8^{\circ}\text{C}$. Note that by definition $\beta = 0^{\circ}\text{C}$ is the control integration.

187 3.3 No orography

188 This experiment was run with all mountains removed; all land surface is flat.

189 3.4 No land

190 Some of the land surface is changed into ocean. Instead of calculating surface
191 temperatures interactively, monthly mean surface temperatures are prescribed,
192 by linearly interpolating east to west from (former) coast to coast. Orography
193 is preserved as in the control integration, i.e. mountains on the removed
194 continents are now ‘aqua mountains’. Two separate integrations have been
195 done: (i) removing Australia, New Zealand and the maritime continent and
196 (ii) removing South America.

197 4 Experimental results

198 4.1 SST asymmetry

199 4.1.1 Large-scale impact on the SPCZ

200 Zonal and meridional SST gradients have been linked to the diagonally
201 oriented SPCZ in many studies (e.g. Widlansky et al, 2011, 2013; Nieto Ferreira
202 and Chao, 2013). Hence we conduct ten integrations with either increased
203 zonal SST asymmetry ($\alpha > 1$) or decreased asymmetry ($\alpha < 1$). The SST
204 fields forcing the model are shown in Fig. 2. Note that $\alpha = 1$ is the control
205 integration and $\alpha = 0$ has zonally symmetric SST forcing. For $\alpha = 2$ any
206 departures from the zonal mean SST values are doubled, the west Pacific warm

207 pool is warmer, the east Pacific cold tongue is colder. For each longitudinal
208 band the zonal mean SST value remains constant.

209 For increasing asymmetry the SPCZ remains in position; the slope is
210 $-0.26^\circ\text{N}/^\circ\text{E}$ and the strength increases up to 12.00 mm d^{-1} for $\alpha = 2$. The
211 precipitation rate increases along the entire length of the SPCZ, consequently
212 the southeastern tip extends about 10° further eastward. In the northern
213 hemisphere the ITCZ is weaker compared to the control integration. In Fig. 3a
214 the mean precipitation mid-SPCZ ($15^\circ\text{-}25^\circ\text{S}$) is shown for all integrations in
215 the SST asymmetry experiment. For $\alpha \geq 1$ the strength increases steadily and
216 the longitude at which maximum precipitation is found does not shift.

217 Zonally symmetric SST forcing ($\alpha = 0$) does not support any form of a
218 diagonally oriented SPCZ. Precipitation over the Pacific ocean is focused in one
219 broad band situated over the equator (Fig. 2d). The strongest precipitation
220 rate is just north of the equator. Three model integrations were performed
221 spanning the range from the control integration to the zonally symmetric
222 SST integration. For $\alpha = 0.75$ both SPCZ strength and orientation are very
223 close to the SPCZ in the control integration. For $\alpha = 0.5$ (Fig. 2f) the SPCZ
224 strength is much weaker. Though there is a weak sign of diagonally oriented
225 precipitation, the SPCZ identification criteria from Brown et al (2011, 2012,
226 2013) fail to identify it, precipitation just south of the equator is stronger and
227 therefore selected as the SPCZ axis. Finally, for $\alpha = 0.25$ (not shown) there is
228 no evidence of a diagonally oriented precipitation pattern. The critical value
229 required for a diagonal SPCZ lies therefore somewhere around $\alpha = 0.5$. The
230 shift of the SPCZ at $\alpha = 0.5$ is also visible in Fig. 3a.

231 For the integration with $\alpha = -0.5$ the zonal SST gradients are reversed,
232 the warmest water is now over the equatorial east Pacific. The strongest
233 precipitation rates are found over the warmest waters; there is no diagonal
234 SPCZ.

235 Fig. 3b shows the zonal mean precipitation rate over the Pacific ocean
236 ($150^\circ\text{E-}90^\circ\text{W}$). In the control integration, the maximum precipitation rate is
237 in the ITCZ at 5°N . In the southern hemisphere there is no clear maximum,
238 instead there is a steady decrease in precipitation rate towards a minimum at
239 30°S . Between 12° and 25°S the SPCZ reduces the decrease of the precipitation
240 rate with latitude. The integrations with a weakened zonal SST asymmetry
241 (low α) show an accentuation of this pattern. The SPCZ bump decreases in
242 strength, the near-equatorial maxima increase in strength. For integrations
243 with an increasing SST asymmetry (high α), the near-equatorial maxima are

244 weaker and the SPCZ bump increases in strength, such that by $\alpha = 1.5$ it
245 becomes a distinct local maximum. For $\alpha = 2$ the overall maximum is no
246 longer in the northern hemisphere ITCZ, instead it is at about 15°S in the
247 SPCZ. In these experiments, the precipitation rate in the SPCZ is inversely
248 related to the precipitation rate in the ITCZ: increasing SST asymmetry result
249 in stronger SPCZ and weaker ITCZ precipitation (Figure 2).

250 *4.1.2 Impact on transient wave-convection SPCZ framework*

251 As the zonal asymmetry (α) is decreased, the diagonal SPCZ disappears.
252 However, the SPCZ is not a direct convective response to the underlying SST
253 distributions. As discussed in Sect. 1, the climatological SPCZ arises from
254 the superposition of many individual synoptic events, where extratropical
255 Rossby waves are refracted and take on a diagonal orientation, triggering
256 convection in a diagonal band ahead of the cyclonic vorticity axis. In this
257 section, we investigate which of the links in this mechanism are sensitive to
258 the SST changes and ultimately cause the SPCZ to disappear as the SST zonal
259 asymmetry is reduced.

260 Adapting the methodology of Van der Wiel et al (2015), composite life
261 cycles of the transient wave - convection SPCZ framework were constructed for
262 the control experiment (Fig. 4, left) and the zonally symmetric SST experiment
263 (Fig. 4, right). Composites are defined to find whether dynamical changes,
264 thermodynamical changes or a mix of the two cause the diagonal SPCZ to
265 disappear when the model is forced with zonal SSTs.

266 These composites are based on time series of 200-hPa vorticity anomalies
267 (mean values in a box southwest of the SPCZ, $20^\circ\text{--}30^\circ\text{S}$, $180^\circ\text{--}170^\circ\text{W}$,
268 southwest blue box in Fig. 4e). Events are then selected based on two criteria:
269 (i) the vorticity anomaly is more negative than -1 standard deviation, and
270 (ii) the vorticity anomaly is a relative minimum compared to five days before
271 and after the event. Based on the above criteria 157 events were selected in the
272 control integration and 158 events in the zonally symmetric SST integration.
273 Composites were computed by taking the mean of a field over all event days.

274 In the control integration ($\alpha = 1$), four days before the event a wave train
275 originating in the subtropical jet is refracted towards the SPCZ area (Figs. 4a,
276 4c). At the day of the event (Fig. 4e), ahead of a cyclonic vorticity anomaly
277 precipitation is formed within the SPCZ. The wave train then weakens and
278 deflects to the southeast (Figs. 4g, 4i). This is in agreement with the physical

279 mechanism and the negative feedback between Rossby wave propagation and
280 precipitation discussed in Matthews (2012) and Van der Wiel et al (2015).

281 In the zonally symmetric SST integration ($\alpha = 0$) a comparable wave train
282 propagates over the SPCZ region (Figs. 4b, 4d). However, here it does not
283 trigger significant convection and precipitation over the SPCZ region (Fig. 4f).
284 The negative feedback does not act and wave propagation continues towards
285 the equator (Figs. 4h, 4j). The Rossby wave forcing up to the event is similar
286 between the two integrations. Therefore, there must be a difference in the
287 thermodynamics that causes the SPCZ to disappear when the model is forced
288 by zonal SSTs.

289 Vertical profiles of temperature and humidity have been analysed in the
290 location where the dynamical forcing triggers precipitation in the composites
291 in the control integration (northeast light blue box in Fig. 4e, 15° - 25° S, 170° -
292 160° W). Both temperature (Fig. 5a) and specific humidity (Fig. 5b) are lower
293 in the zonally symmetric SST integration; the resulting decrease of relative
294 humidity (Fig. 5c) is substantial. The convection scheme (Betts, 1986) is
295 sensitive to this change; computed deep convection is shallower and produces
296 less precipitation.

297 The difference in atmospheric humidity can be explained by differences in
298 atmospheric moisture supply. In the control integration, the lower tropospheric
299 flow over the Southern Hemisphere subtropical Pacific is dominated by a strong
300 subtropical anticyclone (wind vectors in Fig. 6a). On the large scale, the
301 lower tropospheric humidity is characterised by the moist tropics and drier
302 subtropics (shading in Fig. 6a). The subtropical anticyclone advects dry air
303 equatorwards in the eastern Pacific. On its western flank moist air is advected
304 polewards into the SPCZ region. This moisture then converges along the SPCZ
305 axis (Fig. 6c), supplying the moisture for the convection ahead of the transient
306 waves in Fig. 4e.

307 In the zonally symmetric SST integration, the lower tropospheric
308 circulation response over the South Pacific is also approximately zonally
309 symmetric. There is no distinct subtropical anticyclone over the eastern
310 Pacific, and the subtropical flow is eastward and equatorward (trade winds)
311 at all longitudes across the Pacific (wind vectors in Fig. 6b). Hence, there
312 is no poleward moisture advection in the southwest Pacific and no moisture
313 convergence to feed an SPCZ (Fig. 6d). Therefore, even though the dynamical
314 forcing from transient waves over the southwest Pacific is still present (Fig. 4f),

315 the moisture supply needed for this to trigger the deep convective events that
316 comprise the SPCZ is absent.

317 4.2 Absolute SST

318 Ten integrations have been performed in the absolute SST experiment, with
319 SST values changing from $\beta = -12^{\circ}\text{C}$ to $\beta = +8^{\circ}\text{C}$. For a selection
320 of these integrations the new SST fields forcing for the model and the
321 resulting precipitation patterns are shown in Fig. 7. Within this 20°C range
322 of temperatures, the SPCZ is a constant feature over the southern Pacific.
323 For $-4^{\circ}\text{C} \leq \beta \leq +8^{\circ}\text{C}$ its diagonal orientation is stable, with the slope
324 varying between $-0.27^{\circ}\text{N}/^{\circ}\text{E}$ and $-0.37^{\circ}\text{N}/^{\circ}\text{E}$. For the integrations with β
325 decreasing beyond -6°C , the slope decreases from $-0.36^{\circ}\text{N}/^{\circ}\text{E}$ to $-0.08^{\circ}\text{N}/^{\circ}\text{E}$
326 ($\beta = -12^{\circ}\text{C}$) and the SPCZ loses most of its diagonal orientation. Overall,
327 the SPCZ precipitation rate increases with warmer SSTs, from 5.05 mm d^{-1}
328 ($\beta = -12^{\circ}\text{C}$) to 9.39 mm d^{-1} ($\beta = +8^{\circ}\text{C}$). Precipitation over ocean surfaces
329 outside the SPCZ changes in a similar way. The ITCZ, the oceanic portion
330 of the SACZ and precipitation over the maritime continent all decrease or
331 disappear with cooling SSTs.

332 In the ICGM4 the convective precipitation rate is determined by the
333 atmospheric stability and moisture content. Convective available potential
334 energy (CAPE) provides an estimate of the likelihood and the intensity of
335 atmospheric convection (Riemann-Campe et al, 2009). Based on model output,
336 CAPE is computed from vertical profiles of temperature and surface humidity.
337 Fig. 8 shows mean vertical temperature profiles along the SPCZ for $\beta = -8^{\circ}\text{C}$,
338 $\beta = 0^{\circ}\text{C}$ and $\beta = +8^{\circ}\text{C}$. In the control integration CAPE is 1827 J kg^{-1} . In
339 the warmer experiment both temperature and specific humidity have increased
340 throughout the troposphere. The idealised lifted parcel shows convection is
341 deeper and, as shown before, produces more precipitation (Fig. 71). The CAPE
342 for this profile is 3047 J kg^{-1} . In the colder experiment temperature and
343 specific humidity decrease and the tropopause height is lower. CAPE decreases
344 to 996 J kg^{-1} , convection is shallower and precipitation is weaker. Other
345 integrations in this experiment show similar trends of temperature, moisture
346 content and CAPE.

347 The convective inhibition (CIN), a measure for the stability of the surface
348 layer, remains approximately constant in all experiments at about 31 J kg^{-1} .

349 Any changes to modelled convection must therefore have been caused by
 350 changes to atmospheric temperature, moisture content and CAPE.

351 In general, the model atmospheric response to globally warming SSTs is an
 352 increase in atmospheric temperature and moisture content. As a result CAPE
 353 increases and modelled convection is deeper and produces more precipitation.
 354 The mechanism is similar to that of the ‘wet gets wetter’ (Held and Soden,
 355 2006) and projections of future warmer climates in CMIP5 (Widlansky et al,
 356 2013). Lower-tropospheric relative humidity remains constant. The mean
 357 precipitation change along the SPCZ is approximately $0.24 \text{ mm d}^{-1} \text{ }^\circ\text{C}^{-1}$.

358 For negative β , CAPE values decrease all over the South Pacific (Fig. 9).
 359 The highest values of CAPE are found in two zonal bands just off the equator,
 360 separated by a minimum over the equator. Additionally, in the southern
 361 hemisphere high CAPE values are found further poleward in a slightly diagonal
 362 band. The diagonal SPCZ does not follow this band of high CAPE, it is
 363 more diagonally oriented (i.e. it has larger slope in $^\circ\text{N}/^\circ\text{E}$). The SPCZ slope
 364 is still set by northwest-southeast oriented vorticity centres in Rossby wave
 365 trains. Ahead of the cyclonic anomalies, static stability is reduced and, when
 366 conditions are suitable, deep convection is triggered parallel to the axis of the
 367 vorticity centre. (Matthews, 2012; Van der Wiel et al, 2015).

368 For extremely cold integrations ($\beta < -6^\circ\text{C}$) the SPCZ becomes gradually
 369 weaker and loses its diagonal orientation. The colder and drier atmosphere
 370 makes conditions less favourable for deep convection. At the southeastern end
 371 of the SPCZ, within the 4 mm d^{-1} margin ($25\text{-}35^\circ\text{S}$, $120\text{-}130^\circ\text{W}$, light blue box
 372 in Fig. 9a), CAPE decreases from 1085 J kg^{-1} in the control integration to 789
 373 J kg^{-1} for $\beta = -4^\circ\text{C}$, 485 J kg^{-1} for $\beta = -8^\circ\text{C}$ and 342 J kg^{-1} for $\beta = -12^\circ\text{C}$.
 374 In the extremely cold integrations, the SPCZ starts to align with the highest
 375 CAPE values over the South Pacific, as the conditions at the southeastern
 376 end of the control SPCZ are no longer suitable for deep convection. In the
 377 other integrations, CAPE values are sufficiently high everywhere equatorward
 378 of 30°S to support deep convective precipitation. Consequently, the SPCZ is
 379 found wherever the dynamic forcing from the equatorward propagating Rossby
 380 waves is.

381 4.3 No orography

382 In the no-orography experiment, the SPCZ axis remains in approximately
 383 the same position as in the control integration and its diagonal orientation is

384 almost unchanged ($-0.36^\circ\text{N}/^\circ\text{E}$; Fig. 10). The mean precipitation rate along
385 the axis is 7.27 mm d^{-1} , only slightly lower than in the control experiment.
386 The southeastern limit of the SPCZ extends about 10° further eastward. These
387 minimal changes indicate that the direct influence of orography on the SPCZ
388 through changes to the atmosphere is small.

389 This result agrees with comparable model experiments by Takahashi
390 and Battisti (2007a,b) and Widlansky et al (2011). The Takahashi and
391 Battisti (2007a,b) experiment was designed by adding complexity to an
392 aqua planet rather than decreasing complexity from the full model as has
393 been done here. Their results indicate that the South American continent
394 and the Andes mountain range have a very small influence on the Pacific
395 precipitation pattern. However, if atmosphere-ocean feedbacks are included
396 in this experiment (through an interactive mixed layer or a coupled ocean
397 model) southern Pacific precipitation does change (Kitoh, 2002; Takahashi
398 and Battisti, 2007a,b).

399 Outside the SPCZ region, precipitation is now mostly focused within the
400 zonal ITCZ. The SACZ disappears, in favour of a zonal ITCZ from the
401 Amazon extending into the Atlantic. Furthermore, the directly orographically
402 forced precipitation west of the Andes and over New Guinea disappears in the
403 experiment. The detailed mechanism for the changes in the SACZ region is
404 beyond the scope of this study.

405 4.4 No land

406 Over the removed continents in the no-land experiments the surface forcing has
407 changed. In the no-land Australia integration, the temperatures over Australia
408 decrease southwards from 29°C to 20°C and the interpolated temperature
409 contours are oriented west-to-east (Fig. 11a). In the no-land South America
410 integration, the interpolated SST contours are oriented in a northwest-
411 southeast direction, due to the upwelling of cold water in the eastern Pacific
412 compared to relative warm water at the same latitudes in the western Atlantic
413 (Fig. 11b). These SST patterns have not been designed to be a ‘realistic’
414 representation of the SSTs in the case that the continents were actually not
415 there. Instead, the experiments have been designed to test the influence of
416 continental heating from land surfaces on the SPCZ, whilst keeping any other
417 forcing equal (including SSTs over the oceans).

418 Without continental heating over Australia, New Zealand, and the
419 maritime continent, the SPCZ remains in place (Figs. 11c, 11e). The new slope
420 is $-0.34^{\circ}\text{N}/^{\circ}\text{E}$, slightly less diagonal than in the control integration. Within
421 the SPCZ margin the precipitation rate has somewhat decreased, giving a
422 slightly weaker SPCZ strength (7.03 mm d^{-1}). Precipitation over Australia
423 and the maritime continent has increased. This is likely to be caused by the
424 increased near-surface water vapour pressure when land surface is changed to
425 sea surface in the model and the fact that these relatively humid surfaces are
426 not at sea level.

427 Similarly the influence of South American continental heating on the SPCZ
428 seems to be small, the change in SPCZ orientation and strength is minimal
429 (Figs. 11d, 11f). The slope of the SPCZ in the experiment is $-0.35^{\circ}\text{N}/^{\circ}\text{E}$,
430 its strength 7.83 mm d^{-1} . As was found in the no-Australia integration,
431 the largest precipitation changes appear over the removed continent of South
432 America.

433 To test the influence of the aqua mountains, an additional integration was
434 performed in which all continents and all orography were removed (not shown).
435 The results were not significantly different from the no-land integrations
436 presented here. Kiladis et al (1989) performed equivalent experiments in a
437 GCM. Despite having prescribed a different SST forcing over the removed
438 continents and changing SST patterns in open ocean, their results match the
439 current no-land South America experiment. The location of the simulated
440 SPCZ in their model is biased towards Australia, consequently removing
441 continental heating there has a more significant effect.

442 5 Conclusions

443 Experiments have been conducted using the IGCM4 to test the influence of
444 atmospheric boundary conditions on the SPCZ. Experiments included zonal
445 SST asymmetries, absolute SST values, global orography and continental
446 configuration (the presence of Australia and South America). The quality
447 of the simulated SPCZ in the IGCM4 control run is within the range of 23
448 CMIP5 AMIP experiments. SPCZ slope and strength from all experiments are
449 plotted in Fig. 12, together with the control integration, observational data
450 and 23 CMIP5 coupled model historical experiments. The figure shows the
451 SPCZ is a very robust climatological feature. Removing orography or removing
452 the Australian or South American continents has very little influence; the

453 experimental SPCZ is almost identical to the SPCZ in the control integration
454 in these cases.

455 Zonal SST asymmetries impact both SPCZ strength and slope. Stronger
456 asymmetries lead to a stronger SPCZ ($+4.5 \text{ mm d}^{-1}$ for $\alpha = 2$). La Niña events
457 are comparable to these experiments and the experimental results agree with
458 the observed stronger SPCZ during such events (e.g. Folland et al, 2002; Juillet-
459 Leclerc et al, 2006). Decreasing SST asymmetries towards zonally symmetric
460 values impacts the SPCZ slope. The modelled diagonal SPCZ collapses when
461 the asymmetry is half as strong as observed values ($\alpha = 0.5$), instead there is
462 a wide band of precipitation over the equator. Such a collapse of the SPCZ
463 to a zonal band of precipitation has been observed during extreme El Niño
464 events (e.g. Vincent et al, 2011; Cai et al, 2012). The upper-tropospheric
465 dynamical forcing does not change in these integrations; it is atmospheric
466 thermodynamics that makes the difference. The subtropical high, west of the
467 Andes provides moisture to the SPCZ (Fig. 13a). With decreasing zonal SST
468 asymmetry this moisture transport slows down and despite dynamical forcing,
469 convection is not triggered (Fig. 13b). Similar lower-tropospheric moisture
470 convergence anomalies have been observed during extreme El Niño events
471 (Vincent et al, 2011).

472 The absolute SST experiments show that SST values impact the SPCZ
473 strength. For increasing SSTs the SPCZ holds its diagonal orientation
474 whilst the precipitation rate increases by $+1.9 \text{ mm d}^{-1}$ for the $\beta =$
475 $+8^\circ\text{C}$ integration. Vertical profiles of temperature and humidity indicate
476 that computed convection reaches higher and produces more precipitation.
477 Decreasing SSTs has the opposite effect. For extremely cold cases the SPCZ
478 loses its diagonal orientation; values of CAPE over the South Pacific are too
479 low for deep convection and strongest precipitation is found over the area with
480 highest CAPE, parallel to the SST contours. These extremely cold integrations
481 are in agreement with model experiments of the Last Glacial Maximum (21 ky
482 BP, CO_2 180 ppm, northern ice sheet) in which the SPCZ is shifted northwards
483 (Saint-Lu et al, 2015).

484 From the ensemble of IGCM4 experiments it can therefore be concluded
485 that there are prerequisite conditions that need to be met to create a diagonal
486 SPCZ. Asymmetries in the SST pattern are shown to be vital. Though not
487 considered in the current experiments, air-sea interactions and ocean basin
488 boundaries are, ultimately, responsible for these asymmetries (Seager and
489 Murtugudde, 1997). The high pressure area that consequently forms over the

490 subtropical eastern Pacific transports warm, moist air from the equator to
491 the SPCZ region. Then, when the dynamical forcing is right, deep convection
492 produces precipitation over the SPCZ (Fig. 13a).

493 Coral isotope based studies of the SPCZ in past climates so far focus mainly
494 on the eighteenth-century onwards (Bagnato et al, 2005; Juillet-Leclerc et al,
495 2006). These studies give valuable information of climatic variations in the
496 SPCZ and provide additional information to verify output from GCMs for
497 different climate basic states. However, to make reliable statements on SST
498 distributions and the SPCZ further back in time, a more dense network of
499 isotope cores is needed. If such data were available, an interesting question
500 following the current study would be, whether the onset of the SPCZ coincides
501 with the onset of zonal SST gradients about 1-2 Myr ago (McClymont and
502 Rosell-Mele, 2005; Brierley and Fedorov, 2010).

503 Twenty-first-century projections of SPCZ precipitation are uncertain in
504 the CMIP5 ensemble, however SST projections are consistent and show an
505 equatorial warming and reduced zonal and meridional gradients (Brown et al,
506 2013; Widlansky et al, 2013). Based on the physical mechanisms presented
507 here and the CMIP5 SST projections, the future of the SPCZ depends on
508 the relative strength of two competing effects. Increasing absolute SST values
509 force stronger SPCZ precipitation, while decreased zonal SST gradients force
510 weaker SPCZ precipitation; this uneasy balance agrees with model experiments
511 by Widlansky et al (2013).

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Table 1 Overview of IGCM4 experiments conducted.

Experiment	Description
Control	Standard IGCM4
SST asymmetry	Zonal mean SSTs + SST asymmetry (α)
Absolute SST	Standard SST patterns + absolute change (β)
No orography	Flat earth
No land	- No Australia, New Zealand and maritime continent - No South America

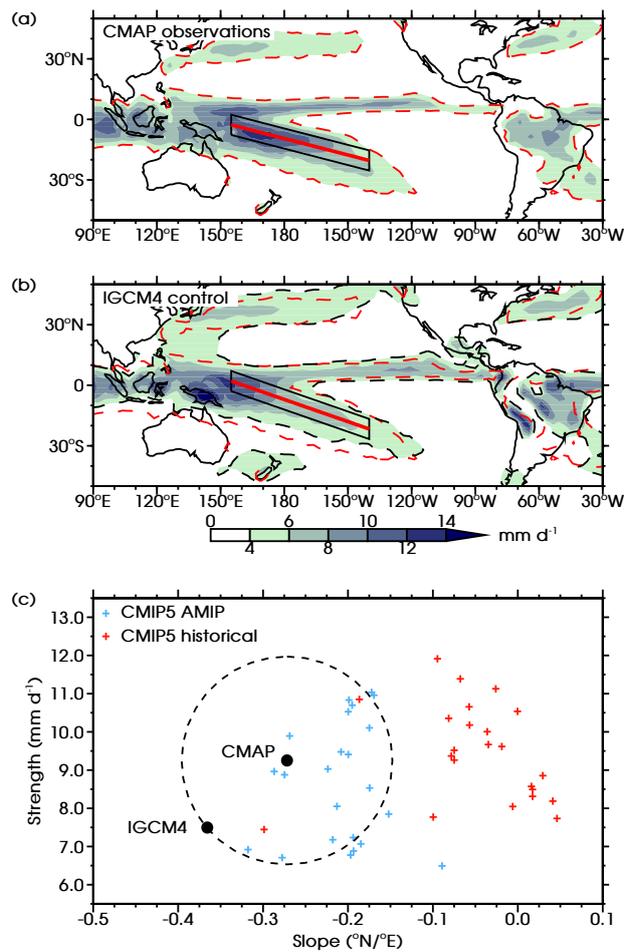


Fig. 1 Time mean precipitation rate (November to April) in (a) CMAP and (b) IGCM4 control integration (shaded colours, mm d^{-1}). The thick diagonal red lines in (a,b) show the computed SPCZ axis locations, the red dashed lines in (a,b) show the 4 mm d^{-1} contour in CMAP, the black dashed line in (b) shows this contour in the IGCM4 control integration, black parallelograms in (a,b) are the area for the computation of the SPCZ strength (see text). (c) SPCZ strength (mm d^{-1}) plotted against SPCZ slope ($^{\circ}\text{N}/^{\circ}\text{E}$). Black dots are the CMAP observations and the IGCM4 control integration, additionally CMIP5 AMIP experiments (blue crosses) and CMIP5 historical experiments (red crosses) are shown. The dashed line in (c) is the circle centred on the CMAP observations that passes through the point of the IGCM4 control integration.

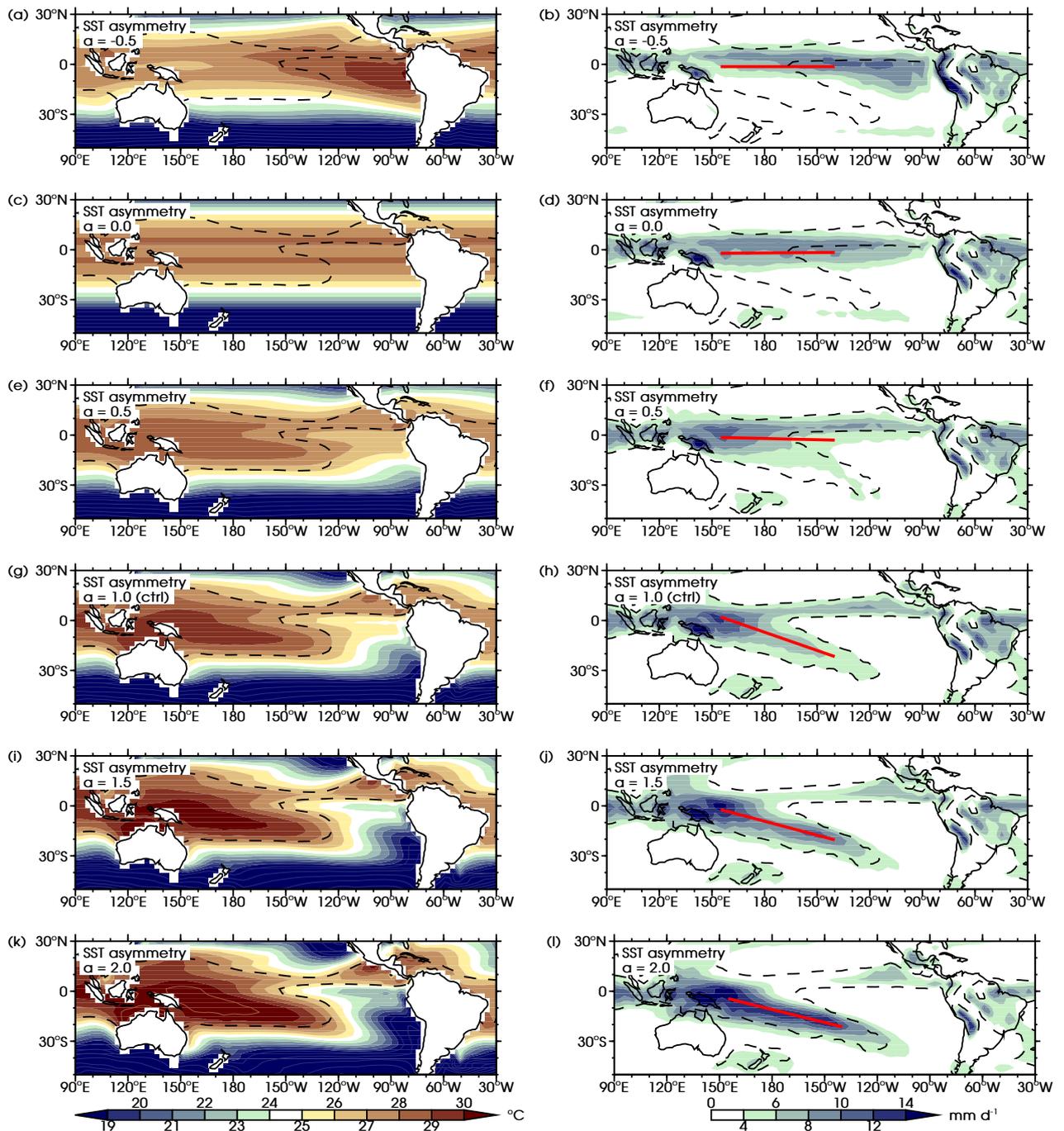


Fig. 2 SST asymmetry experiments. (Left) Time mean SST forcing (November to April, shaded colours, °C), black dashed line shows the 27°C contour of the ICGM4 control integration (as in g), brown line contours show SST contours for 31°C and warmer (+1°C contour interval), blue line contours show SST contours for 17°C and colder (-2°C contour interval). (Right) The corresponding time mean precipitation rate (shaded colours, mm d⁻¹), the thick diagonal red line shows the computed SPCZ axis location, the black dashed line shows the 4 mm d⁻¹ contour of the ICGM4 control integration (as in h). (a,b) $\alpha = -0.5$, (c,d) $\alpha = 0$, (e,f) $\alpha = 0.5$, (g,h) $\alpha = 1$, control integration, (i,j) $\alpha = 1.5$, (k,l) $\alpha = 2$.

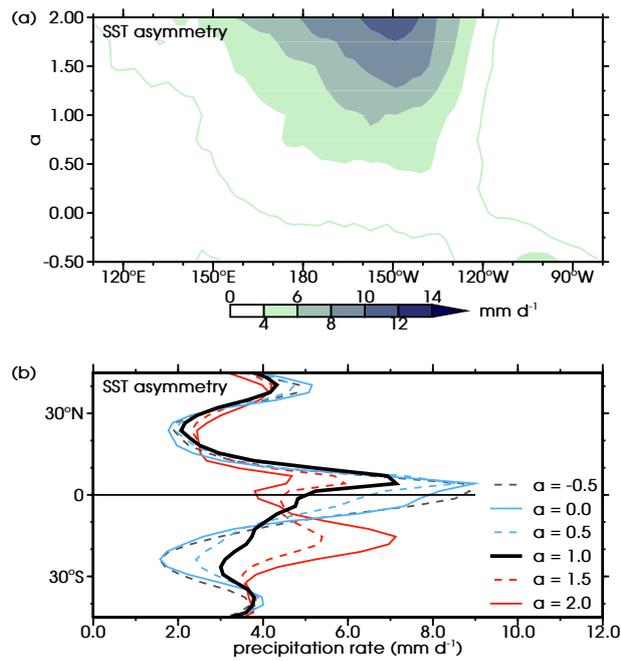


Fig. 3 SST asymmetry experiments. (a) Time mean precipitation rate (November to April) between 15°–25°S (shaded colours, mm d⁻¹). The green line shows the 2 mm d⁻¹ contour. (b) November to April time-mean longitude-mean (150°E–90°W) precipitation rate (mm d⁻¹). Grey dashed line $\alpha = -0.5$, blue solid line $\alpha = 0$, blue dashed line $\alpha = 0.5$, black solid line $\alpha = 1$, control integration, red dashed line $\alpha = 1.5$, red solid line $\alpha = 2$.

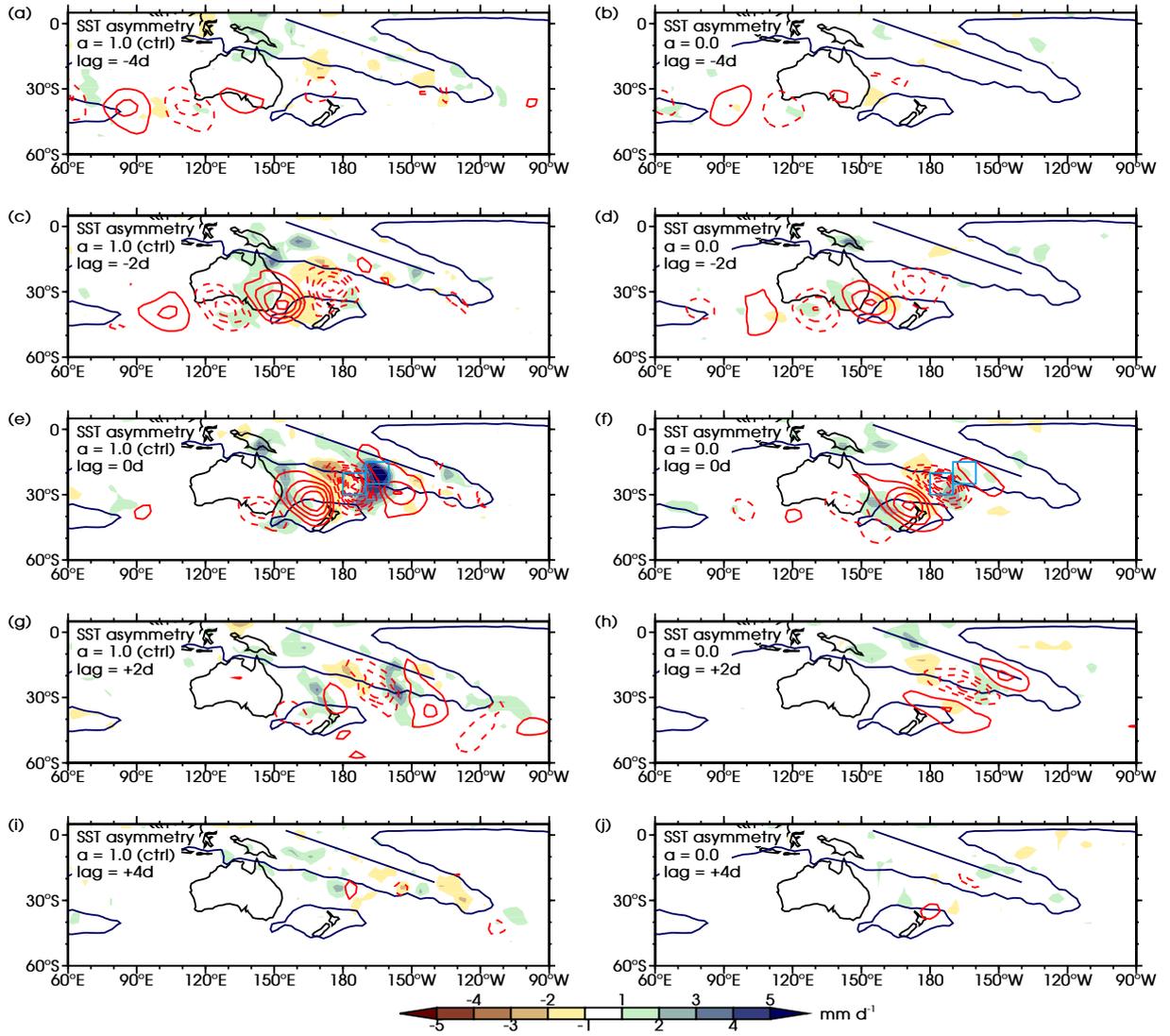


Fig. 4 SST asymmetry experiments. Lagged composites of anomalies of 200 hPa vorticity (contours, interval $7.5 \times 10^{-6} \text{ s}^{-1}$, negative contours dashed, zero contour omitted) and precipitation rate (shaded colours, mm d^{-1}). Dark blue lines show the SPCZ axis and the 4 mm d^{-1} contour in the control integration (as in Fig. 1b), light blue boxes in (e,f) are areas for the vorticity time series and vertical profiles (see text). (a,c,e,g,i) $\alpha = 1$, control integration, (b,d,f,h,j) $\alpha = 0$. Lags: (a,b) event -4 days, (c,d) event -2 days, (e,f) event, (g,h) event $+2$ days, (i,j) event $+4$ days.

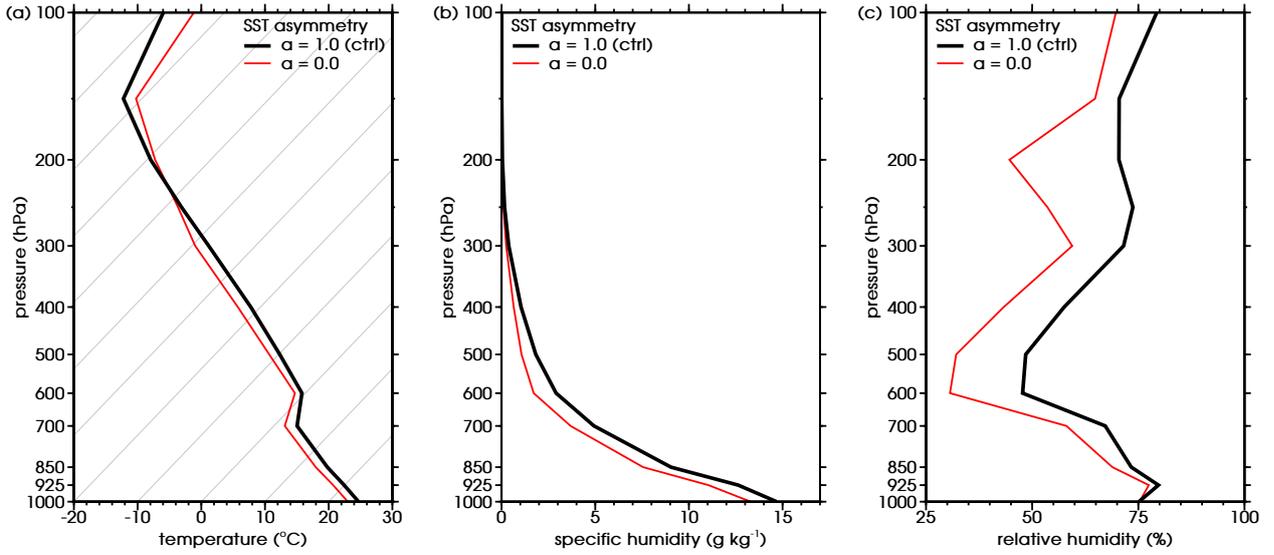


Fig. 5 SST asymmetry experiments. Vertical profiles of (a) temperature ($^{\circ}\text{C}$), (b) specific humidity (g kg^{-1}) and (c) relative humidity (%) in the northeastern blue box (see Figs. 4e, 4f) in the composites (event, no lag). Temperature is plotted on a Skew T-log P diagram, skew grey lines are isotherms. $\alpha = 1$, control integration, black line and $\alpha = 0$ red line.

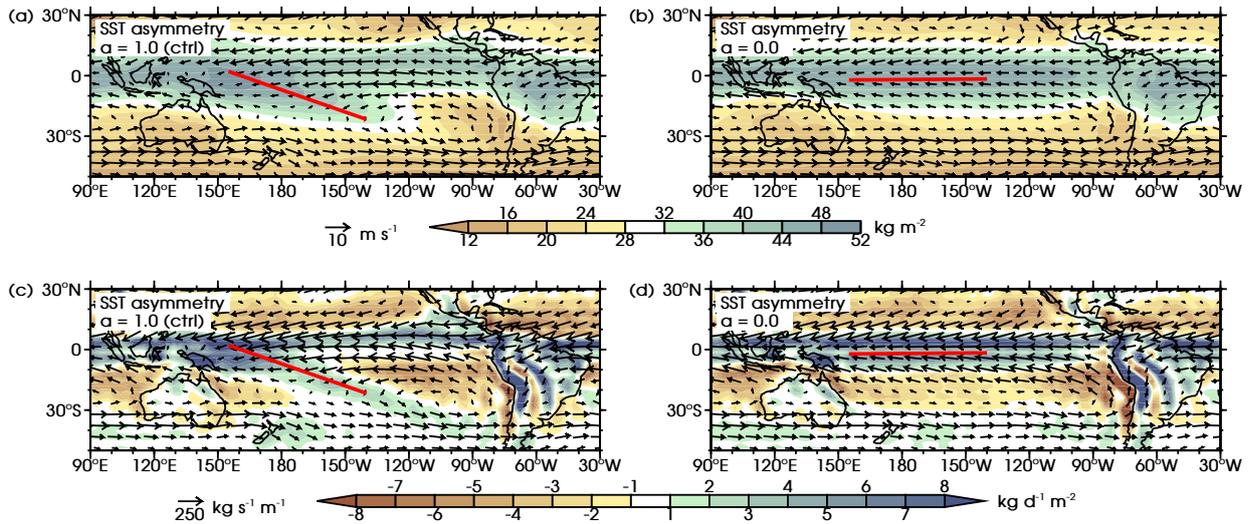


Fig. 6 SST asymmetry experiments. (Top) time mean column integrated specific humidity (November to April, shaded colours, kg m^{-2}) and 1000–600 hPa mean wind (vectors, m s^{-1} , reference vector bottom left). (Bottom) the corresponding column integrated moisture transport (vectors, $\text{kg s}^{-1} \text{m}^{-1}$, reference vector bottom left) and moisture convergence (shaded colours, $\text{kg d}^{-1} \text{m}^{-2}$). The thick diagonal red lines show the computed SPCZ axis location. (a,c) $\alpha = 1$, control integration, (b,d) $\alpha = 0$.

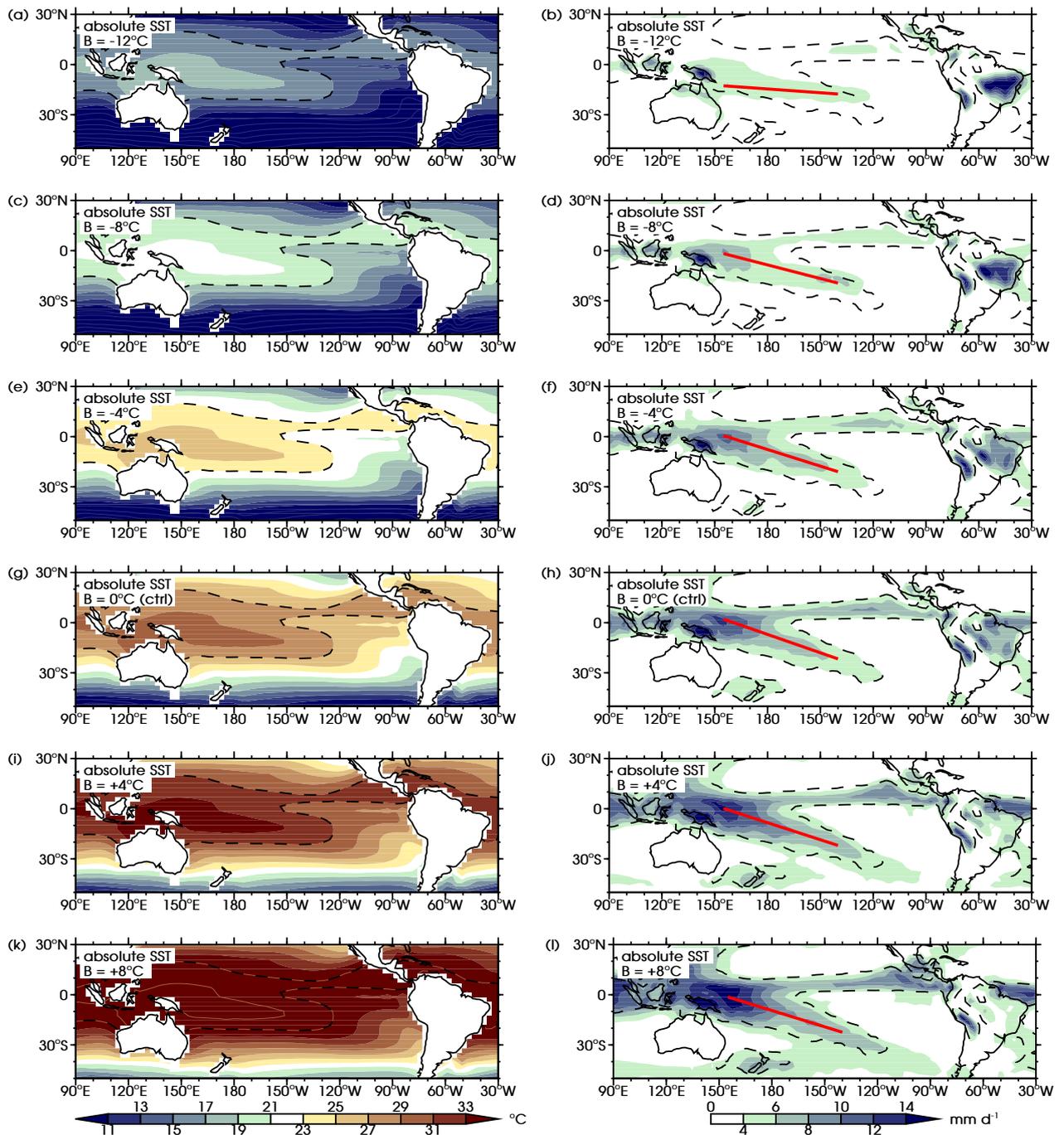


Fig. 7 Absolute SST experiments. (Left) Time mean SST forcing (November to April, shaded colours, °C), black dashed line shows the 27°C contour of the IGCM4 control integration (as in g), brown line contours show SST contours for 35°C and warmer (+2°C contour interval), blue line contours show SST contours for 9°C and colder (-2°C contour interval). (Right) The corresponding time mean precipitation rate (shaded colours, mm d⁻¹). The thick diagonal red line shows the computed SPCZ axis location, the black dashed line in shows the 4 mm d⁻¹ contour of the IGCM4 control integration (as in h). (a,b) $\beta = -12^\circ\text{C}$, (c,d) $\beta = -8^\circ\text{C}$, (e,f) $\beta = -4^\circ\text{C}$, (g,h) $\beta = 0^\circ\text{C}$, control integration, (i,j) $\beta = +4^\circ\text{C}$, (k,l) $\beta = +8^\circ\text{C}$.

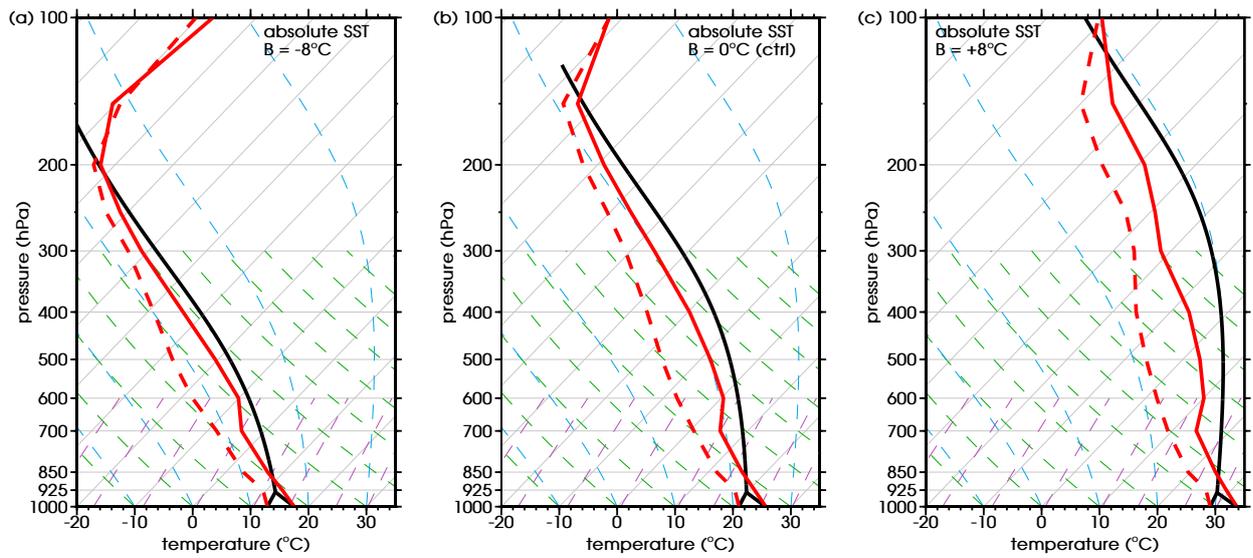


Fig. 8 Absolute SST experiments. Time mean vertical profiles of temperature (November to April, °C, solid red line) and dewpoint temperature (°C, dashed red line) along the computed SPCZ axis plotted on a Skew T-log P diagram. An idealised lifted air parcel is shown as a black line. Horizontal grey lines are isobars, skew grey lines are isotherms, green dashed lines are dry adiabats, blue dashed lines are saturated adiabats, purple dashed lines are isopleths of saturation mixing ratio. (a) $\beta = -8^\circ\text{C}$, (b) $\beta = 0^\circ\text{C}$, control integration, (c) $\beta = +8^\circ\text{C}$.

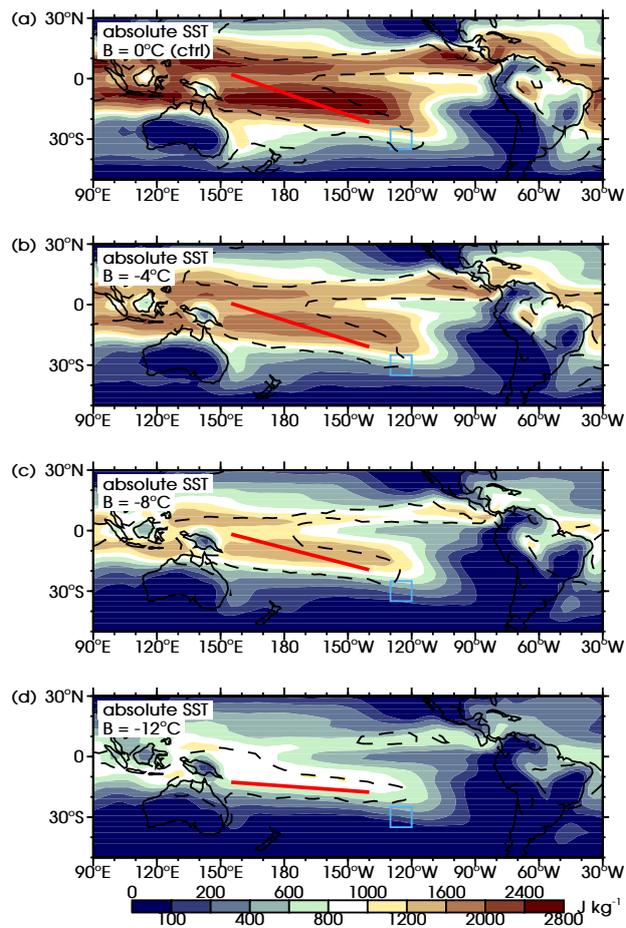


Fig. 9 Absolute SST experiments. Time mean CAPE (November to April, shaded colours, J kg^{-1}). The thick diagonal red lines show the computed SPCZ axis location, the black dashed line in shows the 4 mm d^{-1} precipitation contour (as in Fig. 7), the light blue boxes are the area for the computation of the mean CAPE value (see text). (a) $\beta = 0^\circ\text{C}$, control integration, (b) $\beta = -4^\circ\text{C}$, (c) $\beta = -8^\circ\text{C}$, (d) $\beta = -12^\circ\text{C}$.

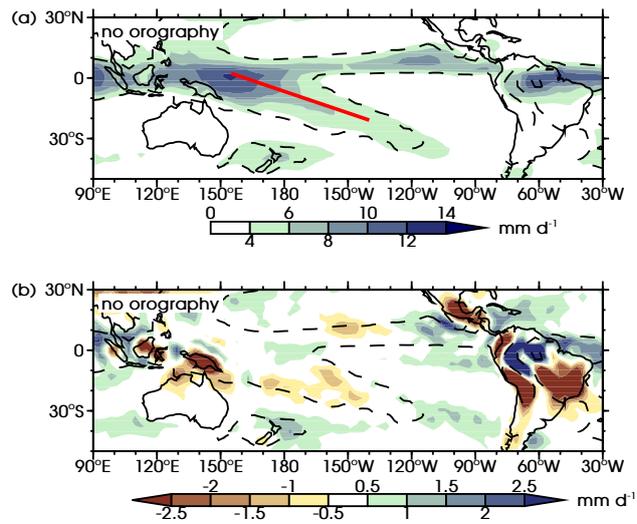


Fig. 10 No-orography experiment. (a) Time mean precipitation rate (November to April, shaded colours, mm d^{-1}) and (b) difference with the IGCM4 control integration (experiment minus control, shaded colours, mm d^{-1}). The thick diagonal red line in (a) shows the computed SPCZ axis location, the black dashed line in (a,b) shows the 4 mm d^{-1} contour of the IGCM4 control integration (as in Fig. 1b).

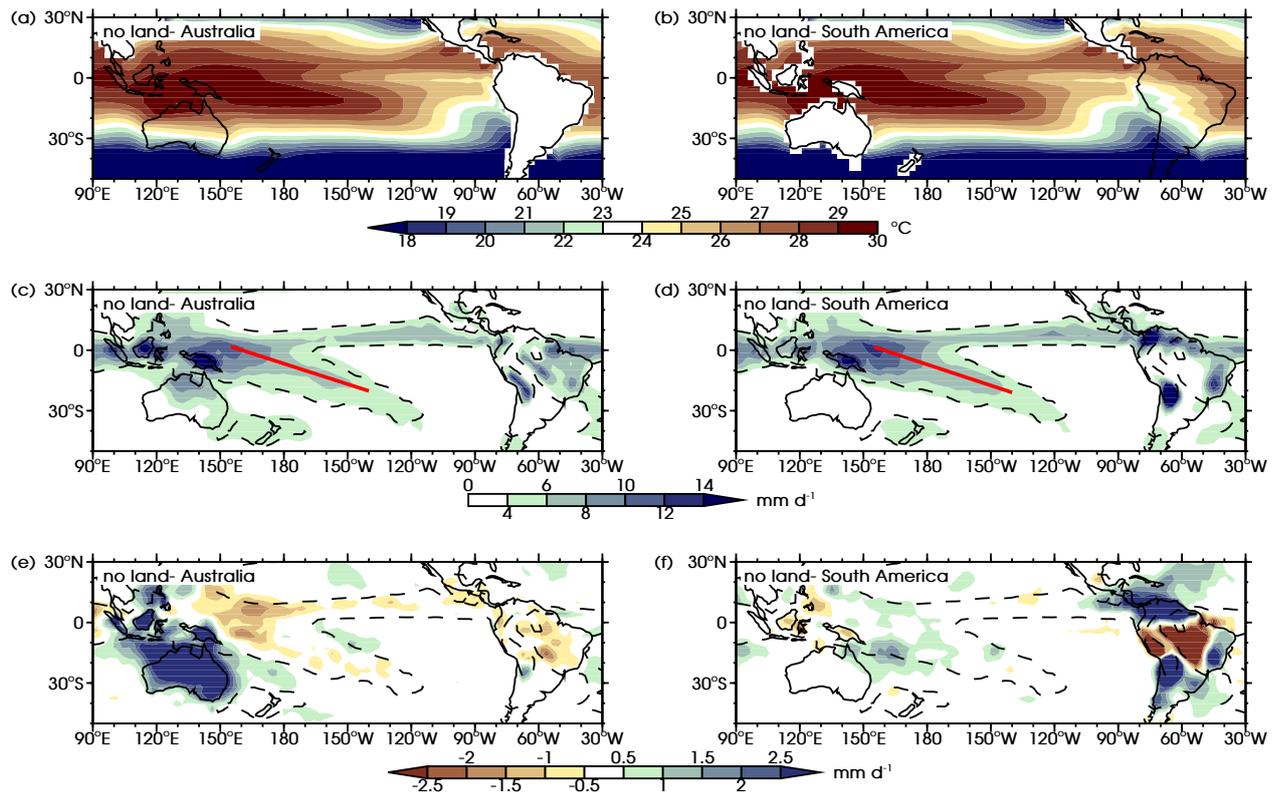


Fig. 11 No-land experiment. (a,b) Time mean SST forcing (November to April, shaded colours, °C), (c,d) precipitation rate (shaded colours, mm d⁻¹) and (e,f) precipitation rate difference with the IGCM4 control integration (experiment minus control, shaded colours, mm d⁻¹). The thick diagonal red line in (c,d) shows the computed SPCZ axis location, the black dashed line in (c,d,e,f) shows the 4 mm d⁻¹ contour of the IGCM4 control integration (as in Fig. 1b). (a,c,e) no Australia and (b,d,f) no South America.

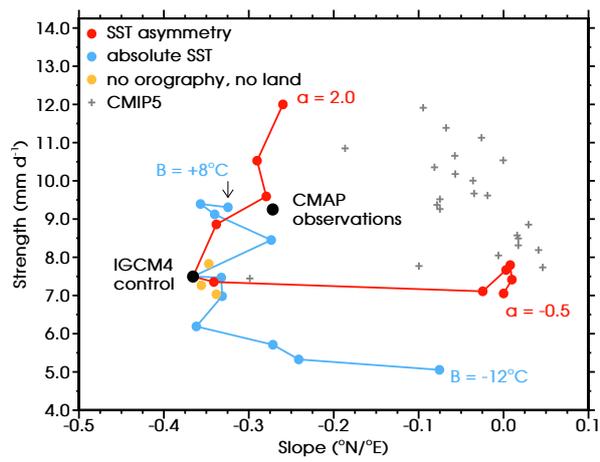
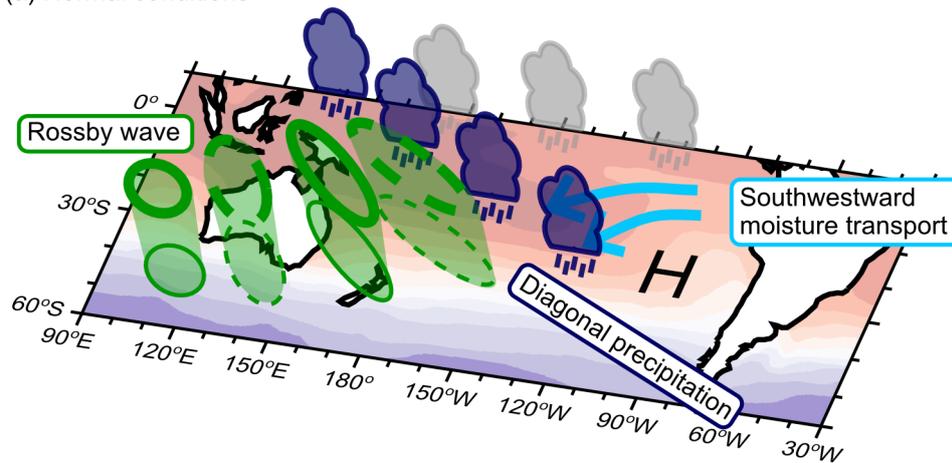


Fig. 12 Time mean SPCZ strength (November to April, mm d^{-1}) plotted against SPCZ slope ($^{\circ}\text{N}/^{\circ}\text{E}$). Black dots are the CMAP observations and the IGCM4 control integration. Experiments: no orography and no land (orange), SST asymmetry (red), absolute SST (blue), CMAP5 historical experiments (grey).

(a) Normal conditions



(b) Zonally symmetric conditions

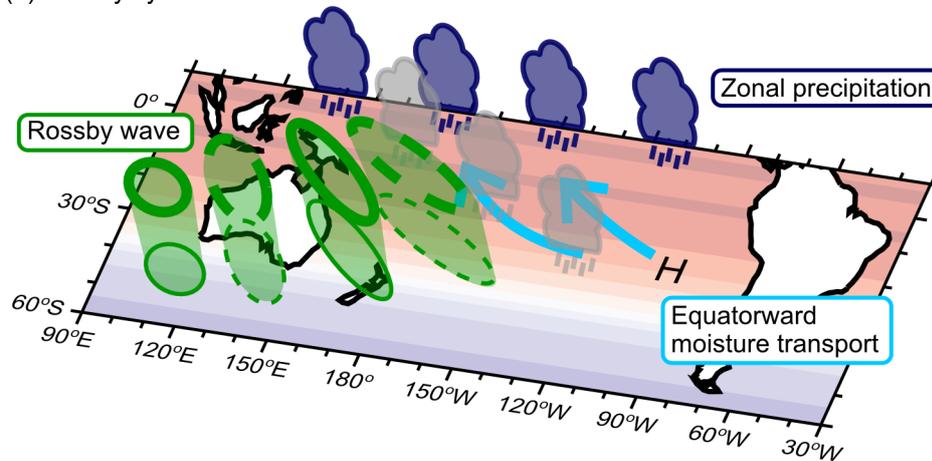


Fig. 13 (a) Schematic of the conditions and mechanism of a diagonal SPCZ. An asymmetrical SST distribution generates a subtropical anticyclone over the southeast Pacific, on its western flank this area transports moisture southwestward into the SPCZ region. Dynamical forcing from equatorward propagating Rossby waves then triggers convection in a northwest-southeast oriented band, parallel to an area of reduced static stability ahead of a cyclonic vorticity anomaly. (b) As (a) but for zonally symmetric SST conditions. The subtropical anticyclone weakens and moisture transport is equatorward. Despite similar diagonally oriented dynamical forcing, precipitation forms in a zonal band along the equator.